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TFW 3628

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

of

**Complete if Known**

Application Number	09/655,929
Filing Date	9/6/2000
First Named Inventor	Stephens
Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,317,727	11/13/2001	May	
		US- 5,077,655	12/31/91	Silverman, et al.	
		US- 5,136,501	8/4/1992	Silverman, et al.	
		US- 5,375,055	12/20/1994	Togher, et al.	
		US- 6,421,653	11/13/2001	May	
		US- 09/169,767		May	
		US- 60/062,410		May	
		US- 09/169,879		May	
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**FOREIGN PATENT DOCUMENTS**

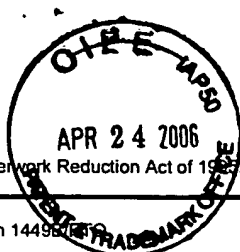
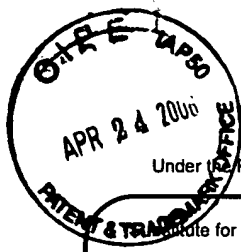
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		REDDY, Securities Operations, 1995, pp. 213-254, New York, U.S.A.	
		KAPNER, MARSHALL, The Swaps Handbook, 1990, pp. 3-543, New York, U.S.A.	
		BAZARAA, JARVIS, SHERALI, Linear Programming and Network Flows, 1990, pp. 563-625, U.S.A.	
		FINANCIAL ACCOUNTING STANDARDS BOARD, Statement of Financial Accounting Standards No. 133, June, 1998, pp. 5-213, Norwalk, CT, U.S.A.	

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